

iapp

Building an AI Governance and Compliance Program



Welcome and Introductions

Speakers



Jay Exum
Chief Trust and Privacy Officer





Jack Berkowitz

Chief Data Officer

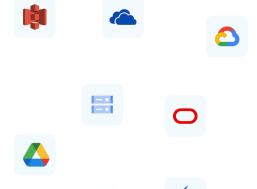




What data is being used in AI Models?





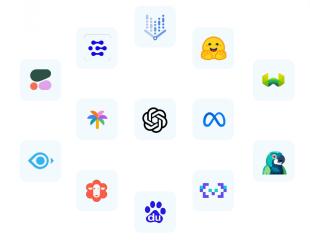




Are AI Models compliant with global regulations?



Which AI Models Exist?







What Controls are there on prompts, agents, assistants?











From Fear to Control: 5-Step Approach to AI Security & Governance











STEP 1

Discover AI Models

Discover and catalog sanctioned and unsanctioned AI models across public clouds, private environments, and SaaS applications. STEP 2

Assess AI Model Risks

Evaluate risks related to data and AI models from IaaS and SaaS, and classify AI models as per global regulatory requirements. STEP 3

Map Data+AI Flows

Connect models to data sources, processes, vendors, potential risks, and compliance obligations. STEP 4

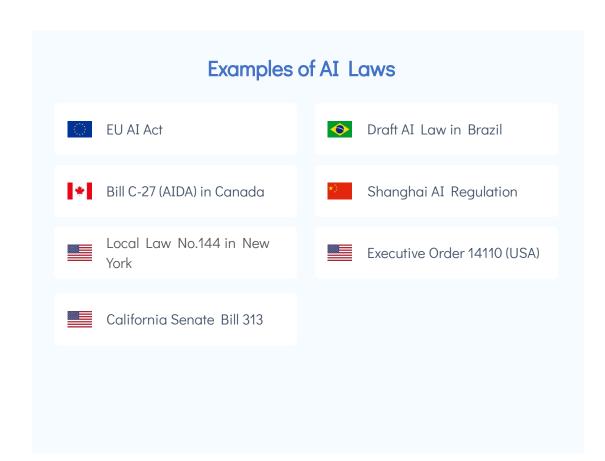
Implement Data+AI Controls

Establish data controls on model inputs and outputs, securing AI systems from unauthorized access or manipulation. STEP 5

Comply with Confidence

Conduct assessments to comply with standards such as NIST AI RMF, the EU AI Act, and more.

The Regulatory Response to AI







2 approaches towards AI regulation: A **comprehensive** approach (such as the EU) or a **hybrid/sectoral** approach (such as the United States).



Application of Existing Laws

Data Protection Laws

- Common obligations
 - Privacy notice
 - Lawful basis
 - Data subject rights
 - Personal data breach notification
 - Cross-border data transfer requirements
- Data protection authorities have taken enforcement actions against companies developing and using AI

Sectoral Laws

- Examples of applicable existing legal frameworks
 - USA
 - Section 5 of the Federal Trade Commission Act
 - Canada
 - The Canada Consumer Product Safety Act
 - The Food and Drugs Act
 - The Motor Vehicle Safety Act
 - The Bank Act
 - The Canadian Human Rights Act and provincial human rights laws
 - The Criminal Code





AI Regulatory Compliance: Key Obligations

Transparency & Explainability

Safety & Accountability

Human Oversight

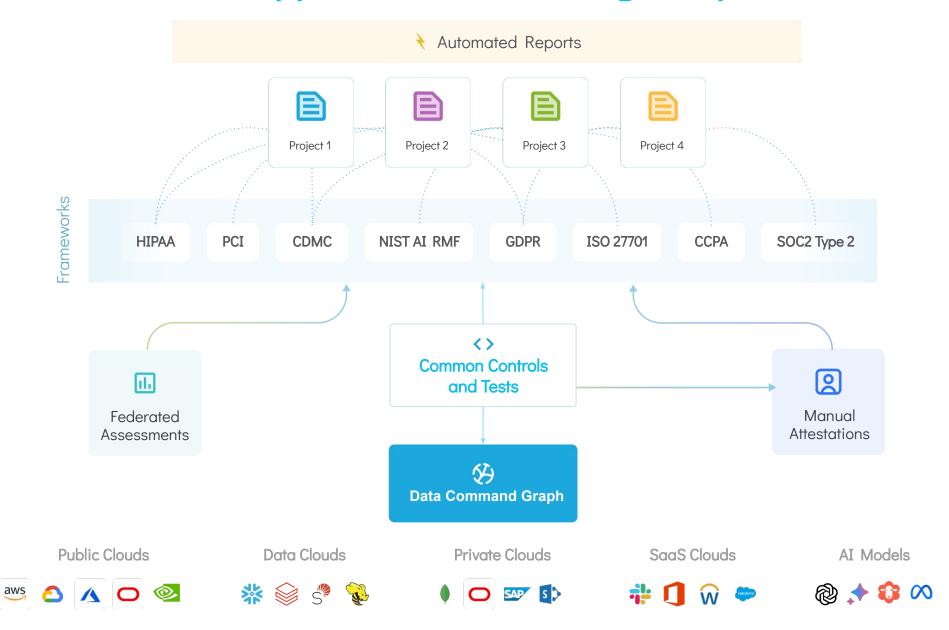
Privacy

Security

Risk Management

Governance

Smarter Approach to Automating Compliance





Fireside Chat

Speakers



Jay ExumChief Trust and Privacy Officer





Jack Berkowitz

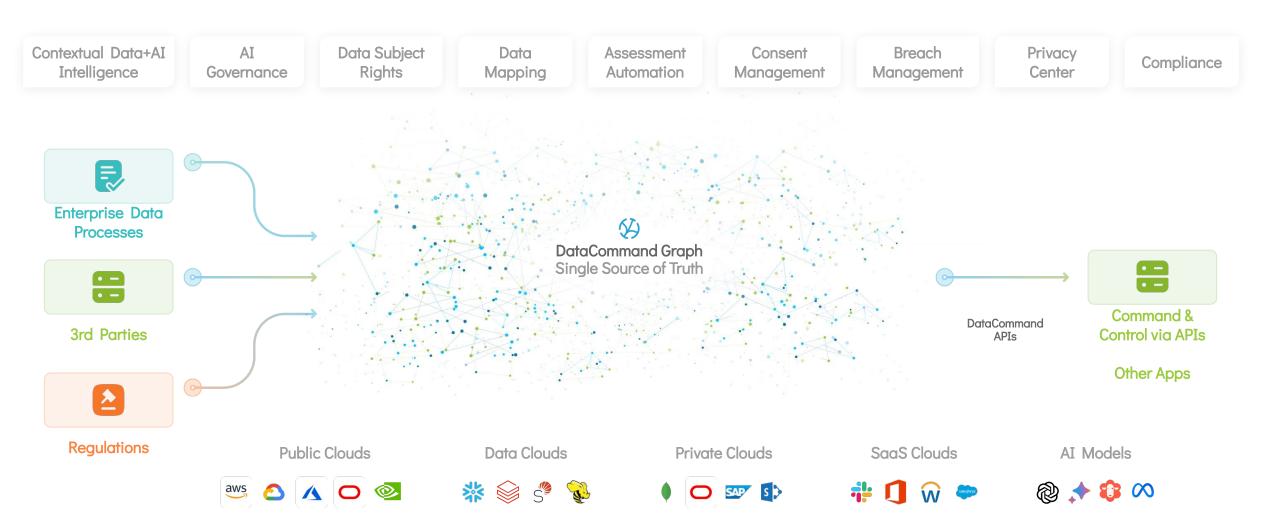
Chief Data Officer





Your Command Center for PrivacyOps

Contextual Data+Al Intelligence and Unified Controls





Learn More

















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