tastyfx

PUBLIC DISCLOSURE

01 COMPANY INFORMATION

tastyfx LLC
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tastyfx LLC ('tastyfx"), a Retail Foreign Exchange Dealer (RFED) and Introducing Broker (IB) registered with the CFTC and a Forex Dealer Member of the National Futures Association (NFA 0509630), is a subsidiary of IG Group Holdings plc which is listed on the London Stock Exchange and a component of the FTSE 250 index.

02 LISTED PRINCIPALS

Listed principals of tastyfx are as follows:

- IG US Holdings Inc. is tastyfx's holding company, and is registered as a principal of tastyfx.
- Joseph Corso is the Chief Executive Officer of tastyfx and leads the firm's operations, personnel, and strategy. Joseph brings over 35 years of leadership experience in brokerage operations, trading, client experience, and strategic growth to tastyfx. Joseph has been a member of the leadership team for IG North America since April 2026. Prior to joining IG North America, Joseph served as Senior Managing Director and Head of Siebert Pro at Siebert Financial from 2024 through 2026. Prior to Siebert, he spent eight years at E*TRADE/Morgan Stanley as Managing Director, Trading & Premium Service, overseeing premium sales and service teams. Earlier in his career, Jospeh served as Senior Vice President of Brokerage at OptionsHouse and Director of OTC Trading at Citadel Securities, and previously spent 14 years at E*TRADE Financial, rising from Director of Trading at E*TRADE Capital Markets to Regional Vice President for E*TRADE Corporate Services. Joseph began his career as a futures trader in the pits at the Chicago Mercantile Exchange. He received his undergrad degree in Business Administration and Economics from the University of St. Francis.
- Paul Cappetta is the Senior Manager of tastyfx, overseeing Operations, Sales, and Business Development, aimed at driving the company's growth. Paul joined tastyfx in 2019 as a Sales Trader quickly progressing to Trading Services Manager and to Director of Operations. He holds undergraduate degrees in Finance and Economics from Edgewood University.
- Sarah Iverson is the Chief Compliance Officer of tastyfx, joining the firm in April 2026. Sarah is responsible for ensuring the firm's compliance with Commodity Futures Trading Commission (CFTC) and National Futures Association (NFA) regulatory requirements. Prior to joining tastyfx, Sarah worked at Charles Schwab Futures and Forex, most recently as the Chief Compliance Officer for its futures and forex business, and previously as a Compliance Director, from April 2021 through March 2026. Prior to working at Charles Schwab, Sarah worked at NFA for 12 years. Sarah received a degree in Business Management from Eastern Illinois University and a post-graduate certification in Accounting from Northwestern University. Sarah is a member of NFA's FCM Advisory Committee, an active member of the Futures Industry Association.
- Isaac Poore is the Chief Financial Officer of tastyfx. Before joining tastyfx, Isaac worked in professional services at EY for nearly 10 years. He provided financial services customers with assistance on financial accounting and reporting matters, process improvement, and control implementation and remediation. In addition to this, Isaac worked with the firm's audit practice providing audit services to customers in regulated industries with a core focus on financial services. He is a Certified Public Accountant ("CPA") licensed in both Illinois and Tennessee and an active member of the American Institute of Certified Public Accountants ("AICPA").
- Rizwan Arastu is the Regional Finance Controller. Rizwan joined tastyfx with 12+ years of experience in various accounting and finance roles. Upon receiving his CPA license, Rizwan spent the first part of his career at KPMG and PwC. Within consulting Rizwan led audits, M&A deals, and spent time abroad to train international support teams. After leaving consulting, Rizwan led a FP&A team at a large insurance company, and just prior to joining tastyfx, Rizwan served as Controller, leading the accounting and finance department at a financial services firm. Along with the core functions of finance, he is also experienced in financial systems implementation and integration.

03 FIRM'S BUSINESS ACTIVITIES

tastyfx operates as a subsidiary of IG Group Holdings plc, a global provider of financial trading to retail customers which is based in the UK and is a member of the IG Group. Headquartered in Chicago, tastyfx acts as a dealer and counterparty for foreign exchange contracts with customers that reside in the United States. tastyfx has committed 100% of its assets to support its customers' trading activity and hedging in these products.

04 CUSTOMER BUSINESS ACTIVITIES

Types of Customers:

Institutional (Introducing Brokers, Commodity Pool Operators, Commodity Trading Advisors), Eligible Contract Participants ("ECPs") and retail customers.

Markets and Currencies Traded:

Foreign exchange contracts offered over-the-counter, they are not listed on a Designated Contract Market.

Prime brokers and/or liquidity providers:

Liquidity is provided to tastyfx by IG Markets Limited (a UK based IG Group, affiliate company registered with the Financial Conduct Authority) and JP Morgan bank. JP Morgan also acts as a prime broker in foreign exchange to tastyfx.

Policies and procedures concerning the choice of bank depositories, custodians, and counter-parties:

tastyfx holds its operating and customer funds in accounts at sizable, highly reputable banks. Before selecting a new bank depository, a thorough review of the bank's credit rating and financial information is completed. tastyfx utilizes an evaluation process to determine the financial condition of the bank. For any bank where tastyfx holds customer funds, thorough reviews are done at least annually to ensure its continued credit worthiness.

05 ECP COUNTERPARTY MATERIAL RISKS

When an ECP's total deposited funds are insufficient to cover any trading losses incurred, this signifies a credit risk. An ECP's total deposited funds may be insufficient to cover trading losses if there is a sudden and significant movement in the market relating to an instrument in which an ECP customer has an open position. tastyfx mitigates the risks associated with ECP trading activities by requiring ECPs to deposit sufficient margin. tastyfx does not allow ECPs to enter into trades if the ECP has not deposited a sufficient amount of margin. In addition, the tastyfx trading platform also manages this risk by automatically closing out of any ECP's open positions when there is insufficient margin on deposit.

The IG Group mitigates the risks associated with customer trading activities by requiring all IG Group customers to deposit sufficient margin, and hedging its exposure in the underlying market. In addition, the electronic trading platform manages this risk by automatically closing out of any customers' open positions when there is insufficient margin on deposit.

06 MATERIAL COMPLAINTS OR ACTIONS

tastyfx is required to disclose any material administrative, civil, enforcement or criminal complaints or actions filed against tastyfx during the last three years. For the last three years, tastyfx has not been party to a material complaint or action. Please visit NFA's BASIC for additional information regarding regulatory complaint issues.