

January 8, 2021

**Remove CNOOC Ltd (0883.HK) from the Morningstar Equity Indexes on 11<sup>th</sup> January 2021.**

The [Executive Order](#) signed by the President of the USA on November 12, 2020 prohibits any United States person from investments in “Communist Chinese military companies”, effective 9:30 a.m. EST on January 11, 2021. OFAC has published an [updated list of companies](#) on January 8<sup>th</sup>.

As a result of the OFAC guidance and further review, Morningstar Indexes will be removing the below security from our equity indexes, effective after the close of January 11, 2021. This will impact all our equity indexes holding these securities.

Performancelid	Security Name	ISIN	SEDOL	TICKER	MIC
0P00009S3U	CNOOC Ltd.	HK0883013259	B00G0S5	00883	XHKG

This is in addition to the list of securities previously removed, issued on our December 31, 2020 [notification](#) and January 7, 2021 [notification](#). The changes will also be reflected in the respective index corporate actions files (.SDE), where available. Please see below for a full-list of securities removed by Morningstar Indexes to-date.

Morningstar Indexes will continue to monitor the list of securities impacted by the Executive Order and issue additional guidance as more information becomes available.

MorningstarIndexesAlerts@morningstar.com is a no-reply email address. For questions concerning this notification, please contact us at Indexes@morningstar.com.

Performancelid	Security Name	ISIN	SEDOL	TICKER	MIC
0P0000INRB	CRRC Corporation Limited-H	CNE100000BG0	B2R2ZC9	1766	XHKG
0P0000HGJU	CRRC Corporation Limited A	CNE100000CP9	BP3R358	601766	XSSC
0P00009S4U	Semiconductor Manufacturing International Corp.	KYG8020E1199	BDFBM13	981	XHKG
0P00009S88	China Communications Construction Co. Ltd. - H Shares	CNE1000002F5	B1JKTQ6	1800	XHKG
0P0000WAXP	China Communications Construction Company Ltd. A	CNE100001FN5	BP3R3C5	601800	XSSC
0P00009SAN	China State Construction International Holdings Ltd.	KYG216771363	B0BM5T8	3311	XHKG
0P0000D6G0	China Railway Construction Corp Ltd H Shares	CNE100000981	B2PFVH7	1186	XHKG
0P00000XBW	Hangzhou Hikvision Digital Technology Co Ltd A	CNE100000PM8	BD5CPQ2	2415	XSEC
0P0000N49K	China National Chemical Engineering Co Ltd A	CNE100000KC0	BP3R4J9	601117	XSSC
0P00014LOS	Sugon Information Industry Co Ltd A	CNE100001TW7	BZ0D207	603019	XSSC
0P00007X1W	China Spacesat Co.,Ltd.A	CNE000000SM3	BP3R5Y1	600118	XSSC

OP0000CNLF	China Railway Construction Corp Ltd A	CNE1000009T1	BP3R381	601186	XSSC
OP00007X02	China United Network Communications Limited A	CNE000001CS2	BP3R2X9	600050	XSSC
OP00009S4L	China Mobile Ltd.	HK0941009539	6073556	00941	XHKG
OP00009S2Z	China Unicom (Hong Kong) Ltd.	HK0000049939	6263830	00762	XHKG
OP00009S2H	China Telecom Corporation Ltd. - H Shares	CNE1000002V2	6559335	00728	XHKG
OP0000KRX0	China State Construction Engineering Corporation (CSCEC)	CNE100000F46	BP3R2Q2	601668	XSSC
OP00009S3U	CNOOC Ltd.	HK0883013259	B00G0S5	00883	XHKG