

December 31, 2020

Announcement: Final list of Communist Chinese Military Companies to be removed from Morningstar Equity Indexes on 05th January 2021

The [Executive Order](#) signed by the President of the USA on November 12, 2020, prohibits any United States person from investing in “Communist Chinese military companies”, effective 9:30 a.m. EST on January 11, 2021. OFAC has published [a list](#) identifying such companies, released by the U.S Department of Treasury on December 28, 2020.

As a result, Morningstar Indexes has updated the list of impacted securities issued on our previous notification. Morningstar Indexes will remove the following securities from our equity indexes effective after the close of January 4, 2021. This will impact all our equity indexes holding these securities.

PerformanceId	Security Name	ISIN	SEDOL	TICKER	MIC
0P0000INRB	CRRC Corporation Limited-H	CNE100000BG0	B2R2ZC9	1766	XHKG
0P0000HGUF	CRRC Corporation Limited A	CNE100000CP9	BP3R358	601766	XSSC
0P00009S4U	Semiconductor Manufacturing International Corp.	KYG8020E1199	BDFBM13	981	XHKG
0P00009S88	China Communications Construction Co. Ltd. - H Shares	CNE1000002F5	B1JKTQ6	1800	XHKG
0P0000WAXP	China Communications Construction Company Ltd. A	CNE100001FN5	BP3R3C5	601800	XSSC
0P00009SAN	China State Construction International Holdings Ltd.	KYG216771363	B0BM5T8	3311	XHKG
0P0000D6G0	China Railway Construction Corp Ltd H Shares	CNE100000981	B2PFVH7	1186	XHKG
0P0000OXBW	Hangzhou Hikvision Digital Technology Co Ltd A	CNE100000PM8	BD5CPQ2	2415	XSEC
0P0000N49K	China National Chemical Engineering Co Ltd A	CNE100000KC0	BP3R4J9	601117	XSSC
0P00014LOS	Sugon Information Industry Co ltd A	CNE100001TW7	BZ0D207	603019	XSSC
0P00007X1W	China Spacesat Co.,Ltd.A	CNE000000SM3	BP3R5Y1	600118	XSSC
0P0000CNLF	China Railway Construction Corp Ltd A	CNE1000009T1	BP3R381	601186	XSSC
0P00007X02	China United Network Communications Limited A	CNE000001CS2	BP3R2X9	600050	XSSC

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