



**MORNINGSTAR
SLAVERY AND HUMAN TRAFFICKING
STATEMENT 2021**

**MORNINGSTAR UK LIMITED
MORNINGSTAR REAL-TIME DATA LIMITED
MORNINGSTAR INVESTMENT MANAGEMENT EUROPE LIMITED**

ANTI-SLAVERY AND HUMAN TRAFFICKING STATEMENT

This statement has been published in accordance with the Modern Slavery Act 2015. It sets out the steps taken by the Morningstar Group Companies identified above during the year ending 31st December 2021 to prevent modern slavery and human trafficking in its business and supply chains.

ORGANISATION'S STRUCTURE

The above companies are Morningstar Inc.'s subsidiaries who are registered and located in the UK.

OUR BUSINESS

Morningstar, Inc., is a leading provider of independent investment research in North America, Europe, Australia and Asia. We offer an extensive line of products and services for individual investors, financial advisors, asset managers, and retirement plan providers and sponsors, and institutional investors in the debt and private capital markets.

Morningstar provides data and research insights on a wide range of investment offerings, including managed investment products, publicly listed companies, private capital markets, debt securities, and real-time global market data. Morningstar also offers investment management services through its investment advisory subsidiaries.

Morningstar provides data on 621,370 investment offerings, including stocks, mutual funds, and similar vehicles, along with real-time global market data on approximately 18.5 million equities, indexes, futures, options, commodities, and precious metals, in addition to foreign exchange and Treasury markets. Morningstar also offers investment management services through its investment advisory subsidiaries. The company has operations in Australia, Brazil, Canada, Chile, China, Denmark, France, Germany, Hong Kong, India, Italy, Japan, Korea, Luxembourg, Mexico, Netherlands, New Zealand, Norway, Romania, Poland, Singapore, South Africa, Spain, Sweden, Switzerland, Taiwan, Thailand, United Arab Emirates, United Kingdom and United States.

OUR SUPPLY CHAINS

Our supply chains include suppliers of IT, data and communications, office cleaning, property grounds and other facilities management services, stock exchanges, legal counsel, insurers, health care providers, and recruitment agencies.

OUR POLICIES ON SLAVERY AND HUMAN TRAFFICKING

We are committed to ensuring that there is no modern slavery or human trafficking in our supply chains or in any part of our business. Our Anti-Slavery Policy reflects our commitment to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to ensure slavery and human trafficking is not taking place anywhere in our supply chains.

We continue to adhere to our UK Anti-Slavery Policy which addresses how employees and other associated persons (agents, suppliers and other service providers) are required to act to minimise the risk of slavery and human trafficking occurring in the business and in its supply chains. This Policy applies to all persons working for us or on our behalf in any capacity, including employees at all levels, directors, officers, agency workers, seconded workers, volunteers, interns, agents, contractors, external consultants, third-party representatives and business partners.

In 2021 the UK team worked within the group to develop and implement a global Anti-Slavery and Human-Trafficking statement and Human Rights Policy to take effect on 1st January 2022 which can be found at:

<https://www.morningstar.com/company/corporate-sustainability-policies-reports>

DUE DILIGENCE PROCESSES FOR SLAVERY AND HUMAN TRAFFICKING & SUPPLIER ADHERENCE TO OUR VALUES

We continue to monitor Morningstar's supply chains across multiple tiers and all our suppliers comply with our own zero tolerance approach to slavery and human trafficking by signing a Declaration of Compliance with the Modern Slavery Act 2015. In December 2020 we introduced our Supplier Code of Conduct which set out what we expect from our suppliers in respect of their compliance with our values. This Code of Conduct can be found at <https://newsroom.morningstar.com/suppliers/default.aspx#section=supplier-code-of-conduct>

We have a dedicated Chief Compliance Officer and EMEA Legal Department responsible for overseeing implementation of our Anti-Slavery Policy within our supply chain.

GUIDANCE

To ensure a high level of understanding of the risks of modern slavery and human trafficking in our supply chains and our business, a copy of the policy is available to all employees and continued detailed guidance to those who are responsible for supplier relationships. The Policy is available at our internal online platform and upon request. Supplier relationship owners are guided in a process designed to ensure declarations of compliance with the Modern Slavery Act are obtained from all relevant suppliers.

FURTHER STEPS

On a continuous basis we take the following further steps to combat slavery and human trafficking:

- Screening new suppliers on their alignment or adherence to the Modern Slavery Act 2015 and adherence to our Supplier Code of Conduct.



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- Screening new suppliers on their alignment or adherence to the Modern Slavery Act 2015 and adherence to our Supplier Code of Conduct.
- Reviewing and monitoring our policy periodically.
- Upon each review, the existing policy or any relevant new amended policy will be signed off by management.

This statement is made pursuant to section 54(1) of the Modern Slavery Act 2015 and constitutes our Group's slavery and human trafficking statement for the financial year ending 31st December 2021.

		
Andrew Rechner	Andrew Rechner	Steve Croucher
Morningstar UK Limited	Morningstar Real-Time Data Limited	Morningstar Investment Management Europe Limited
Date: 1st July 2022		