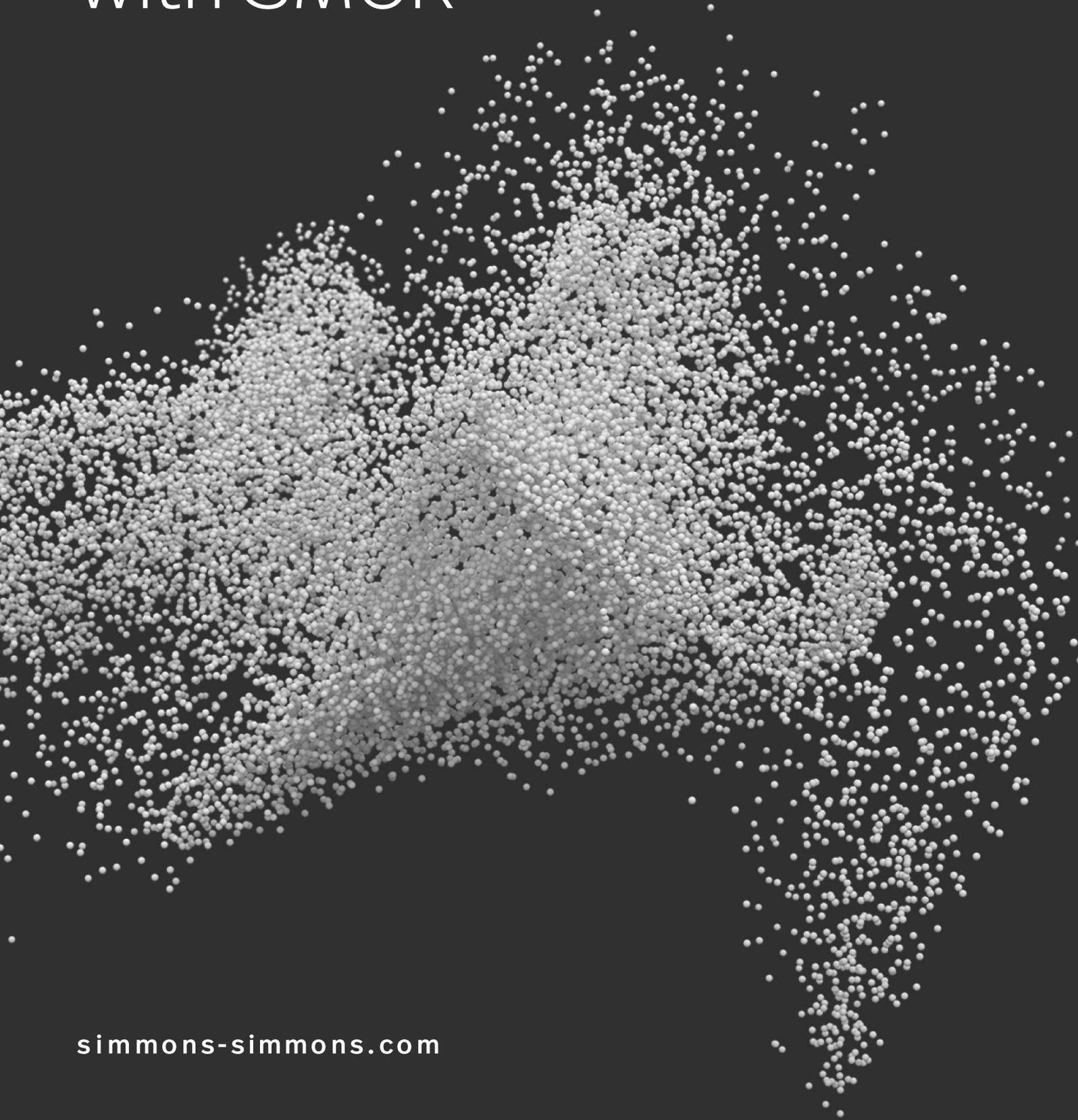


# SMCR Solution

Here to help you comply  
with SMCR



Simmons & Simmons' SMCR Solution has been created to provide you with the tools needed to both effect compliance with the Senior Managers & Certification Regime and manage it over time. Our unique web based solution allows you to:



### **Assign**

- Allocate senior manager, certification and conduct rule staff designation as well as functions and prescribed responsibilities to senior managers at an individual level
- Assign tasks and actions to individuals across the organisation with the click of a button



### **Train**

- Access role-based e-learning training modules customised for asset managers and insurers
- Track additional training and integrate with your HR or learning management system



### **Control**

- Monitor your senior manager and certified staff population's compliance with the annual certification/ fitness and propriety requirements
- Use alerts and workflows to facilitate the management of certification



### **Record**

- Maintain records of Statements of Responsibilities over time and create high level responsibility maps for your organisation
- Store or link to compliance-related evidence and supporting documentation



### **Visualise and Report**

- Access visuals of your individual and firm-wide compliance at a glance using our bespoke dashboards
- Search for and see details of compliance today and historically using our custom out of the box reports or by creating your own

SMCR Solution makes managing your firm's compliance simple – it's in your hands.

The Simmons & Simmons SMCR Solution is further complemented by our SMCR Extension Toolkit, a cost-effective, practical and user-friendly set of templates and guidance on how to comply with the extension of the Senior Managers and Certification Regime to all FCA regulated firms.

Please contact [SMCRTeam@simmons-simmons.com](mailto:SMCRTeam@simmons-simmons.com) to arrange a demo or to learn more about our SMCR products.

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