



Autumn legal update: Making the future present

Agenda

Wednesday 14 October

TIME (BST)	SESSION 1	SESSION 2
8.30-9.00am	Making the future present - 2020 vision Insights in navigating ESG and culture	
9-9.45am	Corporate culture A tale of two firms We take a look at the culture of two very different firms and do a compare and contrast of their approaches. In this session we interview the culture leads for a Fintech company and a more established international bank to talk about their firms' conduct and culture priorities; prevention of sub-cultures with the increase in remote working; the regulator's role and interest in corporate culture; responses to Covid-19 and resulting changes to culture; and, the emergence of non-financial conduct risk	ESG ESG beyond regulation – what you need to be thinking about today Join us as we discuss ESG issues and what you need to be actioning now and incorporating into your business strategies. We will be discussing the initial findings of our ESG client survey and the relevance of these results for those in the financial institutions and asset management sectors, looking beyond regulatory issues.
9.45-10.30am	Corporate culture Purpose – a global regulatory perspective Purpose is on the minds of leadership teams the world over, now more than ever. Regulators across the globe are also focussing on purpose in the context of a firm's culture and often assess it as part of their regulatory oversight. In this session we will hear the perspective of a number of international regulators and from our specialist lawyers to discuss what they are seeing around the world and the trends that are on the horizon. We will seek to cover how regulators are looking at purpose; how different firms interpret purpose and how they implement it; and the topic of enlightened shareholder value versus stakeholder capitalism. Finally, we hope to answer the pressing questions on everybody's lips: is purpose a strategy or a culture? And, can you have a purpose and still make a profit?	ESG ESG horizon for 2021: preparing for the SFDR and beyond Join us for a discussion on the challenges and strategic importance of how asset managers, private banks and other financial markets participants are preparing for the compliance with the Sustainable Finance Disclosure Regulation's (SFDR) March 2021 deadline, and other limbs of the EU's sustainable finance package.
10.30-10.45am (coffee break)	Applied legal design Q&A with our experts	ESG Q&A with our experts
10.45-11.30am	FinTech Virtual currency - big business and big banks An overview of the latest regulatory and other developments on virtual currencies in Ireland, Germany, Singapore, UAE, UK, EU generally and internationally	AM&IF Hedge fund hot topics Join us as we discuss the following: lessons learnt from Covid, maximising risk on opportunities, Hedge fund manager transactions, US regulatory update and Cayman update.

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TIME (BST)	SESSION 1	SESSION 2
11.30am-12.15pm	<p>FinTech</p> <p>Operational resilience post Wirecard</p> <p>A global comparison: key themes emerging from financial regulators in the UK, Germany, Middle East, Hong Kong and Singapore including the impact of the Wirecard scandal, in relation to operational resilience and third party arrangements, and what this means for arrangements with third party suppliers.</p>	<p>AM&IF</p> <p>Democratisation of private assets</p> <p>A major opportunity... with a clear and present need for investment capital as a stimulus for the post-Covid global economy, governments around the world (with their finite public-sector resources) will be looking to the market for new sources of investment capital. At the same time, retail and pension investors would surely benefit from access to new infrastructure and other long-term investments as they search for sustainable, stable and significant levels of retirement provision. Bringing greater access – democratisation – to private assets could potentially satisfy both of these needs.</p>
12.15-1pm	<p>Responsible business</p> <p>DIY D&I – top 3 ways to implement an effective and meaningful D&I strategy</p> <p>Diversity and Inclusion (D&I) is increasingly becoming a key focus area for organisations in the FI and AMIF sectors. While there has been a general consensus in the importance of having diverse teams and focussing on D&I metrics, has the past year moved the conversation to a focus on delivering successful D&I strategies and initiatives? In this client panel, we will hear from leading organisations on how to best implement effective D&I corporate strategies and successfully navigate D&I issues in a changing world.</p>	<p>IBOR</p> <p>The heat is on...key steps to take in relation to your IBOR transition over the next 18 months</p> <p>We will cover the practical steps you will need to take as the pressure increases to finalise your IBOR transition plans. We will discuss upcoming deadlines and planned regulatory developments as well as the regulators' expectations of companies in regards to their transition.</p>
1-1.45pm	<p>Mindful business charter</p> <p>Changing environment: The changing state of mental health</p> <p>Join us for a panel discussion on the Mindful business charter and the pressures of adapting in a new environment.</p>	<p>IBOR</p> <p>lunch break for the AM&IF sector</p> <p>We will be hosting a brief drop in session where we will be discussing key issues for buy side firms to consider and you can pick the brains of our experts.</p>

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8.30-9.00am	<p>Making the future present - 2020 vision</p> <p>Brexit – what happens next?</p>	
9-9.45am	<p>Brexit and beyond</p> <p>Collaborate Europe: the new world of doing business under MIFIDiii</p> <p>This session will have a European panel discussing the key actions for companies to take in relation to these future regulatory standards. The panel will discuss lessons learnt from MIFIDii and how these will impact the development and implementation of MIFIDiii.</p>	<p>Digitalisation</p> <p>In the AI of the storm: legal and ethical risks for FIs</p> <p>A panel discussion on the current legal, regulatory and ethical issues relating to AI use in the FS sector. Members of Simmons' AI Group, joined by Simon Greenman (Global AI Council Member of WEF), will discuss data protection risks, liability risks (including the recent Tyndaris case in the English courts) and what responsible AI means for financial institutions.</p>

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9.45-10.30am	<p>Brexit and beyond Post Brexit enforcement of English judgments in Europe – potential challenges, practical guidance and solutions We will discuss the impact of a hard Brexit on the enforcement of English judgments in Europe, highlighting the new hurdles that could be faced by clients. The panel will focus on the differing approaches taken in key European jurisdictions and practical tips to mitigate any challenges. We will be joined by Helena Raulus, Head of the Brussels Offices of the English Law Society, who will be providing an update on the latest state of play of UK/EU negotiations in relation to civil justice issues.</p>	<p>Digitalisation New and emerging data challenges We will cover the implications of new laws and rulings in regards to data including developments in international data transfer rules and the gathering storm of data class actions. We will look at what the courts and regulators are saying on these issues and what businesses can do, in practice, to comply.</p>
10.30-10.45am (coffee break)	<p>Brexit and beyond Q&A with our experts</p>	<p>Digitalisation Q&A with our experts</p>
10.45-11.30am	<p>Regulatory The future of UK regulation post Brexit With the globalisation of regulation and open markets, how will the UK position itself to allow business to thrive and grow after 31 December 2020? A discussion on the future and strategy of UK regulation.</p>	<p>Managing risk The future of financial crime enforcement Financial crime, and the law enforcement response to it, is increasingly international in nature. We will explore UK, US and European perspectives on recent law enforcement trends, including developments in the obtaining of evidence in trans-Atlantic cases. We will also review the ways in which investigations have been affected by the COVID-19 pandemic.</p>
11.30am-12.15pm	<p>Regulatory Financial regulation horizon scanning A discussion of the key regulatory initiatives coming down the lines that are likely to effect financial service firms globally. This will include SMCR, CSDR, crypto regulation, MIFID3, IFD/IFR, SFTR, Operational resilience, ESG, enforcement and risk management. This session will give a global perspective, it will be practical and punchy and highlight what firms need to do now and the key impact on business across the financial services industry including buy and sell side.</p>	<p>Managing risk Restructuring and insolvency: responding to challenging times Experts from across our international network will discuss hot topics and recent updates applicable to restructuring, insolvency and special situations. We will cover the implications of new laws and regulations as well as potential strategies to help financial institutions and asset managers navigate this fast-changing landscape.</p>
12.15-1pm	<p>Responsible business Pro bono not for you? Think again! How you and your legal skills can make a real difference. Lawyers working for top City firms and Financial Institutions have often spent many years honing their niche area of specialism. Can these skills really be used to deliver useful and practical pro bono advice to individuals and not-for-profit entities? Yes they can! Join our panel from Simmons & Simmons and the in-house legal community for a discussion on how you can make a real difference to your community – and how pro bono can benefit you and your organisation.</p>	
1-1.45pm	<p>Mindful business charter Changing environment: The changing state of mental health Join us for a panel discussion on the Mindful business charter and the pressures of adapting in a new environment.</p>	