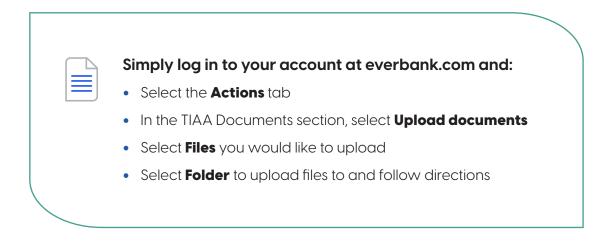


Submission instructions

Upload this form and any additional documentation so that we can move forward in the quickest and most secure way.



ROTH IRA CONTRIBUTION AND INVESTMENT SELECTION

PART 1. ROTH IRA OWNER

PART 2. ROTH IRA TRUSTEE OR CUSTODIAN

		To be completed by the Roth IRA trustee or custodian
Name (First/MI/Last)		
Social Security Number		Name
Date of Birth Phone		Address Line 1
Email Address		Address Line 1
Account Number	Suffix	City/State/ZIP
Security Code		Phone Organization Number

PART 3. CONTRIBUTION INFORMATION

Contribution Amount_

_____ Contribution Date____

CONTRIBUTION TYPE (Select one)

- 1. Regular (Includes catch-up contributions)
 Contribution for Tax Year _____
- **2. Rollover** (Distribution from a Roth IRA or eligible employer-sponsored retirement plan that is being deposited into this Roth IRA)
 By selecting this transaction, I irrevocably designate this contribution as a rollover.
- **3. Transfer** (Direct movement of assets from a Roth IRA into this Roth IRA)
- 4. Recharacterization (A nontaxable movement of a Traditional IRA contribution into this Roth IRA) By selecting this transaction, I irrevocably designate this contribution as a recharacterization.
- 5. Conversion (A taxable movement from a Traditional IRA or SIMPLE IRA into this Roth IRA) By selecting this transaction, I irrevocably designate this contribution as a conversion.

PART 4. INVESTMENT AND DEPOSIT INFORMATION

INVESTMENT INFORMATION (Complete this section as applicable.)

Investment Description	Quantity	Status	Investment	Term	Interest
	or Amount	(new or existing)	Number	or Maturity Date	Rate

DEPOSIT METHOD

Cash or Check (If the contribution type is transfer, the check must be from a financial organization made payable to the trustee for this Roth IRA.)

Account Number	Type (e.g., checking, savings, IRA)			
External Account (e.g., EFT, ACH, wire) (Additional documentation may be required and fees may apply.)				
Name of Organization Sending the Assets	Routing Number (Optional)			
Account Number	Type (e.g., checking, savings, IRA)			

PART 5. SIGNATURE

I certify that all of the information provided by me is accurate and may be relied upon by the trustee or custodian. I certify that the contribution described above is eligible to be contributed to the Roth IRA and I authorize the deposit to be invested in the manner described above.

Deposit Taken by____

RULES AND CONDITIONS APPLICABLE TO ROTH IRA CONTRIBUTIONS

Roth IRA contribution rules are often complex. The general rules are listed below. If you have any questions regarding a contribution, please consult with a competent tax professional or refer to IRS Publication 590-A, *Contributions to Individual Retirement Arrangements (IRAs)*, for more information. This publication is available on the IRS website at www.irs.gov or by calling 1-800-TAX-FORM.

REGULAR

The total amount you may contribute to a Roth IRA for any tax year cannot exceed the lesser of the published annual limit or 100 percent of your earned income and other eligible compensation. Your contribution may be further limited if your income exceeds certain limits. If you also maintain a Traditional IRA, the maximum contribution to your Roth IRA is reduced by any contributions you make to your Traditional IRA.

- You may make a contribution for the prior year up until your tax filing deadline for that year, not including extensions. Designating a contribution for the prior year is irrevocable.
- If you are age 50 or older by the end of the year, you may be eligible to make an additional catch-up contribution to a Roth IRA for that tax year.

ROLLOVER

A rollover is a distribution and a subsequent tax-free movement of assets from any of your Roth IRAs to your Roth IRA. A rollover from an eligible employer-sponsored retirement plan to your Roth IRA will generally result in any pretax assets being subject to tax.

- You are permitted to roll over only one distribution from an IRA (Traditional, Roth, or SIMPLE) in a 12-month period, regardless of the number of IRAs you own. There is no limit to the number of rollovers you may perform from any of your eligible employer-sponsored retirement plans to a Roth IRA.
- A rollover generally must be completed within 60 days from the date you receive the assets.
- A rollover contribution of Traditional IRA or SIMPLE IRA assets may not be made to a Roth IRA.

TRANSFER

A transfer is a direct movement of assets to your Roth IRA from any of your other Roth IRAs.

- You may perform an unlimited number of transfers.
- A transfer contribution may not be made from a Traditional IRA or SIMPLE IRA.

RECHARACTERIZATION

A recharacterization is the procedure to treat all or a portion of a contribution to a Traditional IRA as if it had been made to a Roth IRA.

- A contribution that is recharacterized must be adjusted for earnings.
- The recharacterization deadline is your tax filing deadline for the year of the original transaction, including extensions.

CONVERSION

A conversion is a taxable movement of assets from any of your Traditional IRAs or SIMPLE IRAs to your Roth IRA. A conversion contribution may not be made from a SIMPLE IRA within two years of the first contribution to your SIMPLE IRA.