

WINJAMMER FILING

INITIAL

End Date:10/6/2026

Firm Name:Gus III LLC

Form:Daily Seg - 1-FR - Daily

Submit Date:10/7/2026

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Daily Segregation - Cover Page

Name of Company

Gus III LLC [0010]

Contact Name

Catherine Robinson [0040]

Contact Phone Number

2486592501 [0060]

Contact Email Address

c.robinson@draftkings.com
[0065]

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer segregated funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer segregated funds required between:% and%.

250,000 [8930]
0 [8940]
0 [8950] **0** [8951]
0 [8960] **0** [8961]

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer secured funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer secured funds required between:% and%.

0 [8970]
0 [8980]
0 [8990] **0** [8991]
0 [9000] **0** [9001]

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of cleared swaps customer collateral required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of cleared swaps customer collateral required between:% and%.

250,000 [9010]
0 [9020]
0 [9030] **0** [9031]
0 [9040] **0** [9041]

DAILY COMPUTATION OF NET CAPITAL - 1-FR FORMAT

Debit/Deficit - Customers Current Amount Gross Amount

Domestic Debit/Deficit

Foreign Debit/Deficit

Debit/Deficit - Non Customers Current Amount Gross Amount

Domestic Debit/Deficit

Foreign Debit/Deficit

Proprietary Open Trade Equity

Domestic OTE

Foreign OTE

SPAN

Customer SPAN Calculation

Non-Customer SPAN Calculation

Proprietary Capital Charges

Is the firm a registered Futures Commission Merchant ("FCM") that offers to be or acts as a counterparty to retail foreign exchange transactions or a Retail Foreign Exchange Dealer ("RFED")?

0 [CCDD]
0 [FCDD] **0** [FCDD]

0 [DCND] **0** [506P]
0 [FCND] **0** [FNDD]

0 [502P]
0 [FOTE]

0 [SPAC]

0 [SPAP]

0 [PROP]

No [8135]

1. Current Assets

124,741,032 [3020]

2. Total Liabilities

44,563,180 [3030]

3. Deductions from total liabilities

A. Liabilities subject to satisfactory subordination agreements

0 [3040]

B. Other adjustments

0 [3080]

4. Adjusted liabilities

44,563,180 [3090]

5. Net capital

80,177,852 [3100]

6. Total charges

0 [3440]

7. Adjusted Net Capital

80,177,852 [3500]

8. CFTC Capital Requirement

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A. Risk-Based Requirement	
i. Amount of Customer Risk Maintenance Margin Requirement	0 [3515]
ii. 8% of line 8.Ai.	0 [3525]
iii. Amount of Non-customer Risk Maintenance Margin Requirement	0 [3535]
iv. 8% of line 8.Aiii.	0 [3545]
v. Enter the sum of 8A.ii and 8A.iv	0 [3555]
B. Minimum Dollar Amount Requirement	1,000,000 [3565]
C. Other NFA Requirement	0 [3575]
D. Miminum CFTC Net Capital Requirement	1,000,000 [3600]
9. Excess net capital	79,177,852 [3610]
10. Early warning capital requirement	1,500,000 [3620]
11. Excess early warning capital	78,677,852 [8066]

INITIAL**End Date:10/6/2026****Firm Name:Gus III LLC****Form:Daily Seg - 1-FR - Daily****Submit Date:10/7/2026****Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

	Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	<u>0</u> [5605]
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers	
	A. Cash	<u>0</u> [5615]
	B. Securities (at market)	<u>0</u> [5617]
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	<u>0</u> [5625]
3.	Exchange traded options	
	A. Market value of open option contracts purchased on a foreign board of trade	<u>0</u> [5635]
	B. Market value of open contracts granted (sold) on a foreign board of trade	<u>0</u> [5637]
4.	Net equity (deficit) (add lines 1. 2. and 3.)	<u>0</u> [5645]
5.	Account liquidating to a deficit and account with a debit balances - gross amount	<u>0</u> [5651]
	Less: amount offset by customer owned securities	<u>0</u> [5652] <u>0</u> [5654]
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	<u>0</u> [5655]
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	<u>0</u> [5660]
FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS		
1.	Cash in Banks	
	A. Banks located in the United States	<u>0</u> [5700]
	B. Other banks qualified under Regulation 30.7	<u>0</u> [5720] <u>0</u> [5730]
2.	Securities	
	A. In safekeeping with banks located in the United States	<u>0</u> [5740]
	B. In safekeeping with other banks qualified under Regulation 30.7	<u>0</u> [5760] <u>0</u> [5770]
3.	Equities with registered futures commission merchants	
	A. Cash	<u>0</u> [5780]
	B. Securities	<u>0</u> [5790]
	C. Unrealized gain (loss) on open futures contracts	<u>0</u> [5800]
	D. Value of long option contracts	<u>0</u> [5810]
	E. Value of short option contracts	<u>0</u> [5815] <u>0</u> [5820]
4.	Amounts held by clearing organizations of foreign boards of trade	
	A. Cash	<u>0</u> [5840]
	B. Securities	<u>0</u> [5850]
	C. Amount due to (from) clearing organization - daily variation	<u>0</u> [5860]
	D. Value of long option contracts	<u>0</u> [5870]
	E. Value of short option contracts	<u>0</u> [5875] <u>0</u> [5880]
5.	Amounts held by member of foreign boards of trade	
	A. Cash	<u>0</u> [5900]
	B. Securities	<u>0</u> [5910]
	C. Unrealized gain (loss) on open futures contracts	<u>0</u> [5920]
	D. Value of long option contracts	<u>0</u> [5930]
	E. Value of short option contracts	<u>0</u> [5935] <u>0</u> [5940]
6.	Amounts with other depositories designated by a foreign board of trade	<u>0</u> [5960]
7.	Segregated funds on hand	<u>0</u> [5965]
8.	Total funds in separate section 30.7 accounts	<u>0</u> [5970]
9.	Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)	<u>0</u> [5680]
10.	Management Target Amount for Excess funds in separate section 30.7 accounts	<u>0</u> [5980]
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	<u>0</u> [5985]

INITIAL**End Date:10/6/2026****Firm Name:Gus III LLC****Form:Daily Seg - 1-FR - Daily****Submit Date:10/7/2026****Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<u>-113,444</u> [5000]
	B. Securities (at market)	<u>0</u> [5010]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u>0</u> [5020]
3.	Exchange traded options	
	A. Market value of open option contracts purchased on a contract market	<u>4,787</u> [5030]
	B. Market value of open option contracts granted (sold) on a contract market	<u>0</u> [5040]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>-108,657</u> [5050]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<u>208,870</u> [5060]
	Less: amount offset by customer owned securities	<u>0</u> [5070] <u>208,870</u> [5080]
6.	Amount required to be segregated (add lines 4 and 5)	<u>100,213</u> [5090]
FUNDS IN SEGREGATED ACCOUNTS		
7.	Deposited in segregated funds bank accounts	
	A. Cash	<u>549,900</u> [5100]
	B. Securities representing investment of customers' funds (at market)	<u>0</u> [5110]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [5120]
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<u>0</u> [5130]
	B. Securities representing investment of customers' funds (at market)	<u>0</u> [5140]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [5150]
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>0</u> [5160]
10.	Exchange traded options	
	A. Value of open long option contracts	<u>0</u> [5170]
	B. Value of open short option contracts	<u>0</u> [5180]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>385,052</u> [5190]
	B. Securities representing investment of customers' funds (at market)	<u>0</u> [5200]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [5210]
12.	Segregated funds on hand	<u>0</u> [5215]
13.	Total amount in segregation (add lines 7 through 12)	<u>934,952</u> [5220]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>834,739</u> [5230]
15.	Management Target Amount for Excess funds in segregation	<u>250,000</u> [5240]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>584,739</u> [5250]
	Excess	

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Daily Segregation - Supplemental

Total gross margin deficiencies - Segregated Funds Origin	<u>0</u> [9100]
Total gross margin deficiencies - Secured Funds Origin	<u>0</u> [9101]
Total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin	<u>0</u> [9102]
Total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin	<u>0</u> [9103]
Total number of accounts contributing to total gross margin deficiencies - Segregated Funds Origin	<u>0</u> [9104]
Total number of accounts contributing to total gross margin deficiencies - Secured Funds Origin	<u>0</u> [9105]
Total number of accounts contributing to the total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin	<u>0</u> [9106]
Total number of accounts contributing to the total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin	<u>0</u> [9107]
Upload a copy of the firm's daily margin report the FCM uses to issue margin calls which corresponds with the reporting date.	

Customer Margin 10.06.2026.xlsx

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STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements	
1. Net ledger balance	
A. Cash	<u>8,044,953</u> [8500]
B. Securities (at market)	<u>0</u> [8510]
2. Net unrealized profit (loss) in open cleared swaps	<u>0</u> [8520]
3. Cleared swaps options	
A. Market value of open cleared swaps option contracts purchased	<u>6,225,723</u> [8530]
B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3)	<u>14,270,676</u> [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	<u>4,984,841</u> [8560]
Less: amount offset by customer owned securities	<u>0</u> [8570] <u>4,984,841</u> [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<u>19,255,517</u> [8590]
Funds in Cleared Swaps Customer Segregated Accounts	
7. Deposited in cleared swaps customer segregated accounts at banks	
A. Cash	<u>22,200,735</u> [8600]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8610]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	
A. Cash	<u>0</u> [8630]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8640]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8650]
9. Net settlement from (to) derivatives clearing organizations	<u>0</u> [8660]
10. Cleared swaps options	
A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11. Net equities with other FCMs	
A. Net liquidating equity	<u>74,716,392</u> [8690]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8710]
12. Cleared swaps customer funds on hand	
A. Cash	<u>0</u>
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0 0</u> [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>96,917,127</u> [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	<u>77,661,610</u> [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>250,000</u> [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess	<u>77,411,610</u> [8770]