

WINJAMMER FILING

INITIAL

End Date:10/7/2026

Firm Name:Gus III LLC

Form:Daily Seg - 1-FR - Daily

Submit Date:10/8/2026

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Daily Segregation - Cover Page

Name of Company

Gus III LLC [0010]

Contact Name

Catherine Robinson [0040]

Contact Phone Number

2486592501 [0060]

Contact Email Address

c.robinson@draftkings.com
[0065]

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer segregated funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer segregated funds required between:% and%.

250,000 [8930]
0 [8940]
0 [8950] **0** [8951]
0 [8960] **0** [8961]

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer secured funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer secured funds required between:% and%.

0 [8970]
0 [8980]
0 [8990] **0** [8991]
0 [9000] **0** [9001]

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of cleared swaps customer collateral required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of cleared swaps customer collateral required between:% and%.

250,000 [9010]
0 [9020]
0 [9030] **0** [9031]
0 [9040] **0** [9041]

DAILY COMPUTATION OF NET CAPITAL - 1-FR FORMAT

Debit/Deficit - Customers Current Amount Gross Amount

Domestic Debit/Deficit

Foreign Debit/Deficit

Debit/Deficit - Non Customers Current Amount Gross Amount

Domestic Debit/Deficit

Foreign Debit/Deficit

Proprietary Open Trade Equity

Domestic OTE

Foreign OTE

SPAN

Customer SPAN Calculation

Non-Customer SPAN Calculation

Proprietary Capital Charges

Is the firm a registered Futures Commission Merchant ("FCM") that offers to be or acts as a counterparty to retail foreign exchange transactions or a Retail Foreign Exchange Dealer ("RFED")?

0 [CCDD]
0 [FCDD] **0** [FCDD]

0 [DCND] **0** [506P]
0 [FCND] **0** [FNDD]

0 [502P]
0 [FOTE]

0 [SPAC]

0 [SPAP]

0 [PROP]

No [8135]

1. Current Assets
2. Total Liabilities
3. Deductions from total liabilities
 - A. Liabilities subject to satisfactory subordination agreements
 - B. Other adjustments
4. Adjusted liabilities
5. Net capital
6. Total charges
7. Adjusted Net Capital
8. CFTC Capital Requirement

109,529,151 [3020]
51,806,210 [3030]

0 [3040]
0 [3080]
51,806,210 [3090]
57,722,941 [3100]
0 [3440]
57,722,941 [3500]

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A. Risk-Based Requirement	
i. Amount of Customer Risk Maintenance Margin Requirement	<u>0</u> [3515]
ii. 8% of line 8.Ai.	<u>0</u> [3525]
iii. Amount of Non-customer Risk Maintenance Margin Requirement	<u>0</u> [3535]
iv. 8% of line 8.Aiii.	<u>0</u> [3545]
v. Enter the sum of 8A.ii and 8A.iv	<u>0</u> [3555]
B. Minimum Dollar Amount Requirement	<u>1,000,000</u> [3565]
C. Other NFA Requirement	<u>0</u> [3575]
D. Miminum CFTC Net Capital Requirement	<u>1,000,000</u> [3600]
9. Excess net capital	<u>56,722,941</u> [3610]
10. Early warning capital requirement	<u>1,500,000</u> [3620]
11. Excess early warning capital	<u>56,222,941</u> [8066]

INITIAL**End Date:10/7/2026****Firm Name:Gus III LLC****Form:Daily Seg - 1-FR - Daily****Submit Date:10/8/2026****Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

- | | | |
|----|---|---------------------------------|
| | Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder | <u>0</u> [5605] |
| 1. | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers | |
| | A. Cash | <u>0</u> [5615] |
| | B. Securities (at market) | <u>0</u> [5617] |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | <u>0</u> [5625] |
| 3. | Exchange traded options | |
| | A. Market value of open option contracts purchased on a foreign board of trade | <u>0</u> [5635] |
| | B. Market value of open contracts granted (sold) on a foreign board of trade | <u>0</u> [5637] |
| 4. | Net equity (deficit) (add lines 1. 2. and 3.) | <u>0</u> [5645] |
| 5. | Account liquidating to a deficit and account with a debit balances - gross amount | <u>0</u> [5651] |
| | Less: amount offset by customer owned securities | <u>0</u> [5652] <u>0</u> [5654] |
| 6. | Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | <u>0</u> [5655] |
| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | <u>0</u> [5660] |

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

- | | | |
|-----|---|---------------------------------|
| 1. | Cash in Banks | |
| | A. Banks located in the United States | <u>0</u> [5700] |
| | B. Other banks qualified under Regulation 30.7 | <u>0</u> [5720] <u>0</u> [5730] |
| 2. | Securities | |
| | A. In safekeeping with banks located in the United States | <u>0</u> [5740] |
| | B. In safekeeping with other banks qualified under Regulation 30.7 | <u>0</u> [5760] <u>0</u> [5770] |
| 3. | Equities with registered futures commission merchants | |
| | A. Cash | <u>0</u> [5780] |
| | B. Securities | <u>0</u> [5790] |
| | C. Unrealized gain (loss) on open futures contracts | <u>0</u> [5800] |
| | D. Value of long option contracts | <u>0</u> [5810] |
| | E. Value of short option contracts | <u>0</u> [5815] <u>0</u> [5820] |
| 4. | Amounts held by clearing organizations of foreign boards of trade | |
| | A. Cash | <u>0</u> [5840] |
| | B. Securities | <u>0</u> [5850] |
| | C. Amount due to (from) clearing organization - daily variation | <u>0</u> [5860] |
| | D. Value of long option contracts | <u>0</u> [5870] |
| | E. Value of short option contracts | <u>0</u> [5875] <u>0</u> [5880] |
| 5. | Amounts held by member of foreign boards of trade | |
| | A. Cash | <u>0</u> [5900] |
| | B. Securities | <u>0</u> [5910] |
| | C. Unrealized gain (loss) on open futures contracts | <u>0</u> [5920] |
| | D. Value of long option contracts | <u>0</u> [5930] |
| | E. Value of short option contracts | <u>0</u> [5935] <u>0</u> [5940] |
| 6. | Amounts with other depositories designated by a foreign board of trade | <u>0</u> [5960] |
| 7. | Segregated funds on hand | <u>0</u> [5965] |
| 8. | Total funds in separate section 30.7 accounts | <u>0</u> [5970] |
| 9. | Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | <u>0</u> [5680] |
| 10. | Management Target Amount for Excess funds in separate section 30.7 accounts | <u>0</u> [5980] |
| 11. | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | <u>0</u> [5985] |

INITIAL**End Date:10/7/2026****Firm Name:Gus III LLC****Form:Daily Seg - 1-FR - Daily****Submit Date:10/8/2026****Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<u>-116,016</u> [5000]
	B. Securities (at market)	<u>0</u> [5010]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u>0</u> [5020]
3.	Exchange traded options	
	A. Market value of open option contracts purchased on a contract market	<u>4,634</u> [5030]
	B. Market value of open option contracts granted (sold) on a contract market	<u>0</u> [5040]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>-111,382</u> [5050]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<u>212,828</u> [5060]
	Less: amount offset by customer owned securities	<u>0</u> [5070] <u>212,828</u> [5080]
6.	Amount required to be segregated (add lines 4 and 5)	<u>101,446</u> [5090]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	<u>549,900</u> [5100]
	B. Securities representing investment of customers' funds (at market)	<u>0</u> [5110]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [5120]
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<u>0</u> [5130]
	B. Securities representing investment of customers' funds (at market)	<u>0</u> [5140]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [5150]
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>0</u> [5160]
10.	Exchange traded options	
	A. Value of open long option contracts	<u>0</u> [5170]
	B. Value of open short option contracts	<u>0</u> [5180]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>382,327</u> [5190]
	B. Securities representing investment of customers' funds (at market)	<u>0</u> [5200]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [5210]
12.	Segregated funds on hand	<u>0</u> [5215]
13.	Total amount in segregation (add lines 7 through 12)	<u>932,227</u> [5220]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>830,781</u> [5230]
15.	Management Target Amount for Excess funds in segregation	<u>250,000</u> [5240]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>580,781</u> [5250]
	Excess	

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Daily Segregation - Supplemental

Total gross margin deficiencies - Segregated Funds Origin	<u>0</u> [9100]
Total gross margin deficiencies - Secured Funds Origin	<u>0</u> [9101]
Total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin	<u>0</u> [9102]
Total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin	<u>0</u> [9103]
Total number of accounts contributing to total gross margin deficiencies - Segregated Funds Origin	<u>0</u> [9104]
Total number of accounts contributing to total gross margin deficiencies - Secured Funds Origin	<u>0</u> [9105]
Total number of accounts contributing to the total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin	<u>0</u> [9106]
Total number of accounts contributing to the total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin	<u>0</u> [9107]
Upload a copy of the firm's daily margin report the FCM uses to issue margin calls which corresponds with the reporting date.	

Customer Margin 10.7.xlsx

INITIAL**End Date:10/7/2026****Firm Name:Gus III LLC****Form:Daily Seg - 1-FR - Daily****Submit Date:10/8/2026****Daily Segregation - Swaps Statement**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements	
1. Net ledger balance	
A. Cash	<u>9,966,757</u> [8500]
B. Securities (at market)	<u>0</u> [8510]
2. Net unrealized profit (loss) in open cleared swaps	<u>0</u> [8520]
3. Cleared swaps options	
A. Market value of open cleared swaps option contracts purchased	<u>7,421,563</u> [8530]
B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3)	<u>17,388,320</u> [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	<u>4,048,870</u> [8560]
Less: amount offset by customer owned securities	<u>0</u> [8570] <u>4,048,870</u> [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<u>21,437,190</u> [8590]
Funds in Cleared Swaps Customer Segregated Accounts	
7. Deposited in cleared swaps customer segregated accounts at banks	
A. Cash	<u>24,746,207</u> [8600]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8610]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	
A. Cash	<u>0</u> [8630]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8640]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8650]
9. Net settlement from (to) derivatives clearing organizations	<u>0</u> [8660]
10. Cleared swaps options	
A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11. Net equities with other FCMs	
A. Net liquidating equity	<u>73,367,469</u> [8690]
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8710]
12. Cleared swaps customer funds on hand	
A. Cash	<u>0</u>
B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>98,113,676</u> [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	<u>76,676,486</u> [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>250,000</u> [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess	<u>76,426,486</u> [8770]