**INITIAL**
**End Date:11/5/2026**
**Firm Name:Gus III LLC**
**Form:Daily Seg - 1-FR - Daily**
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## Daily Segregation - Cover Page

| | |
|---|---|
| Name of Company | **Gus III LLC** [0010] |
| Contact Name | **Catherine Robinson** [0040] |
| Contact Phone Number | **2486592501** [0060] |
| Contact Email Address | **c.robinson@draftkings.com** [0065] |
| FCM's Customer Segregated Funds Residual Interest Target (choose one): | |
| a. Minimum dollar amount: ; or | **250,000** [8930] |
| b. Minimum percentage of customer segregated funds required:% ; or | **0** [8940] |
| c. Dollar amount range between:and; or | **0** [8950] **0** [8951] |
| d. Percentage range of customer segregated funds required between:% and%. | **0** [8960] **0** [8961] |
| FCM's Customer Secured Amount Funds Residual Interest Target (choose one): | |
| a. Minimum dollar amount: ; or | **0** [8970] |
| b. Minimum percentage of customer secured funds required:% ; or | **0** [8980] |
| c. Dollar amount range between:and; or | **0** [8990] **0** [8991] |
| d. Percentage range of customer secured funds required between:% and%. | **0** [9000] **0** [9001] |
| FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one): | |
| a. Minimum dollar amount: ; or | **250,000** [9010] |
| b. Minimum percentage of cleared swaps customer collateral required:% ; or | **0** [9020] |
| c. Dollar amount range between:and; or | **0** [9030] **0** [9031] |
| d. Percentage range of cleared swaps customer collateral required between:% and%. | **0** [9040] **0** [9041] |
| DAILY COMPUTATION OF NET CAPITAL - 1-FR FORMAT | |
| Debit/Deficit - Customers Current Amount Gross Amount | |
| Domestic Debit/Deficit | **0** [CCDD] |
| Foreign Debit/Deficit | **0** [FCCD] **0** [FCDD] |
| Debit/Deficit - Non Customers Current Amount Gross Amount | |
| Domestic Debit/Deficit | **0** [DCND] **0** [506P] |
| Foreign Debit/Deficit | **0** [FCND] **0** [FNDD] |
| Proprietary Open Trade Equity | |
| Domestic OTE | **0** [502P] |
| Foreign OTE | **0** [FOTE] |
| SPAN | |
| Customer SPAN Calculation | **0** [SPAC] |
| Non-Customer SPAN Calcualation | **0** [SPAP] |
| Proprietary Capital Charges | **0** [PROP] |
| Is the firm a registered Futures Commission Merchant ("FCM") that offers to be or acts as a counterparty to retail foreign exchange transactions or a Retail Foreign Exchange Dealer ("RFED")? | No [8135] |
| 1. Current Assets | **104,469,049** [3020] |
| 2. Total Liabilities | **68,412,306** [3030] |
| 3. Deductions from total liabilities | |
| A. Liabilities subject to satisfactory subordination agreements | **0** [3040] |
| B. Other adjustments | **0** [3080] |
| 4. Adjusted liabilities | **68,412,306** [3090] |
| 5. Net capital | **36,056,743** [3100] |
| 6. Total charges | **0** [3440] |
| 7. Adjusted Net Capital | **36,056,743** [3500] |
| 8. CFTC Capital Requirement | |

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| | | |
|---|---|---|
| | A. Risk-Based Requirement | |
| | i. Amount of Customer Risk Maintenance Margin Requirement | **0** [3515] |
| | ii. 8% of line 8.Ai. | **0** [3525] |
| | iii. Amount of Non-customer Risk Maintenance Margin Requirement | **0** [3535] |
| | iv. 8% of line 8.Aiii. | **0** [3545] |
| | v. Enter the sum of 8A.ii and 8A.iv | **0** [3555] |
| | B. Minimum Dollar Amount Requirement | **1,000,000** [3565] |
| | C. Other NFA Requirement | **0** [3575] |
| | D. Miminum CFTC Net Capital Requirement | **1,000,000** [3600] |
| 9. | Excess net capital | **35,056,743** [3610] |
| 10. | Early warning capital requirement | **1,500,000** [3620] |
| 11. | Excess early warning capital | **34,556,743** [8066] |

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## Daily Segregation - Secured Amounts

| | | |
|---|---|---|
| | Foreign Futures and Foreign Options Secured Amounts | |
| | Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder | **0** [5605] |
| 1. | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers | |
| | A. Cash | **0** [5615] |
| | B. Securities (at market) | **0** [5617] |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | **0** [5625] |
| 3. | Exchange traded options | |
| | A. Market value of open option contracts purchased on a foreign board of trade | **0** [5635] |
| | B. Market value of open contracts granted (sold) on a foreign board of trade | **0** [5637] |
| 4. | Net equity (deficit) (add lines 1. 2. and 3.) | **0** [5645] |
| 5. | Account liquidating to a deficit and account with a debit balances - gross amount | **0** [5651] |
| | Less: amount offset by customer owned securities | **0** [5652] **0** [5654] |
| 6 | Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | **0** [5655] |
| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | **0** [5660] |
| | FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS | |
| 1. | Cash in Banks | |
| | A. Banks located in the United States | **0** [5700] |
| | B. Other banks qualified under Regulation 30.7 | **0** [5720] **0** [5730] |
| 2. | Securities | |
| | A. In safekeeping with banks located in the United States | **0** [5740] |
| | B. In safekeeping with other banks qualified under Regulation 30.7 | **0** [5760] **0** [5770] |
| 3. | Equities with registered futures commission merchants | |
| | A. Cash | **0** [5780] |
| | B. Securities | **0** [5790] |
| | C. Unrealized gain (loss) on open futures contracts | **0** [5800] |
| | D. Value of long option contracts | **0** [5810] |
| | E. Value of short option contracts | **0** [5815] **0** [5820] |
| 4. | Amounts held by clearing organizations of foreign boards of trade | |
| | A. Cash | **0** [5840] |
| | B. Securities | **0** [5850] |
| | C. Amount due to (from) clearing organization - daily variation | **0** [5860] |
| | D. Value of long option contracts | **0** [5870] |
| | E. Value of short option contracts | **0** [5875] **0** [5880] |
| 5. | Amounts held by member of foreign boards of trade | |
| | A. Cash | **0** [5900] |
| | B. Securities | **0** [5910] |
| | C. Unrealized gain (loss) on open futures contracts | **0** [5920] |
| | D. Value of long option contracts | **0** [5930] |
| | E. Value of short option contracts | **0** [5935] **0** [5940] |
| 6. | Amounts with other depositories designated by a foreign board of trade | **0** [5960] |
| 7. | Segregated funds on hand | **0** [5965] |
| 8. | Total funds in separate section 30.7 accounts | **0** [5970] |
| 9. | Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | **0** [5680] |
| 10. | Management Target Amount for Excess funds in separate section 30.7 accounts | **0** [5980] |
| 11. | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | **0** [5985] |

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## Daily Segregation - Segregation Statement

| | | |
|---|---|---|
| | SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct) | |
| 1. | Net ledger balance | |
| | A. Cash | **-173,346** [5000] |
| | B. Securities (at market) | **0** [5010] |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a contract market | **0** [5020] |
| 3. | Exchange traded options | |
| | A. Market value of open option contracts purchased on a contract market | **3,339** [5030] |
| | B. Market value of open option contracts granted (sold) on a contract market | **0** [5040] |
| 4. | Net Equity (deficit) (add lines 1, 2, and 3) | **-170,007** [5050] |
| 5. | Accounts liquidating to a deficit and accounts with | |
| | debit balances - gross amount | **303,552** [5060] |
| | Less: amount offset by customer owned securities | **0** [5070] **303,552** [5080] |
| 6. | Amount required to be segregated (add lines 4 and 5) | **133,545** [5090] |
| | FUNDS IN SEGREGATED ACCOUNTS | |
| 7. | Deposited in segregated funds bank accounts | |
| | A. Cash | **551,301** [5100] |
| | B. Securities representing investment of customers' funds (at market) | **0** [5110] |
| | C. Securities held for particular customers or option customers in lieu of cash (at market) | **0** [5120] |
| 8. | Margins on deposit with derivatives clearing organizations of contract markets | |
| | A. Cash | **0** [5130] |
| | B. Securities representing investment of customers' funds (at market) | **0** [5140] |
| | C. Securities held for particular customers or option customers in lieu of cash (at market) | **0** [5150] |
| 9. | Net settlement from (to) derivatives clearing organizations of contract markets | **0** [5160] |
| 10. | Exchange traded options | |
| | A. Value of open long option contracts | **0** [5170] |
| | B. Value of open short option contracts | **0** [5180] |
| 11. | Net equities with other FCMs | |
| | A. Net liquidating equity | **323,702** [5190] |
| | B. Securities representing investment of customers' funds (at market) | **0** [5200] |
| | C. Securities held for particular customers or option customers in lieu of cash (at market) | **0** [5210] |
| 12. | Segregated funds on hand | **0** [5215] |
| 13. | Total amount in segregation (add lines 7 through 12) | **875,003** [5220] |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13) | **741,458** [5230] |
| 15. | Management Target Amount for Excess funds in segregation | **250,000** [5240] |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount Excess | **491,458** [5250] |

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## Daily Segregation - Supplemental

| | |
|---|---|
| Total gross margin deficiencies - Segregated Funds Origin | **0** [9100] |
| Total gross margin deficiencies - Secured Funds Origin | **0** [9101] |
| Total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin | **0** [9102] |
| Total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin | **0** [9103] |
| Total number of accounts contributing to total gross margin deficiencies - Segregated Funds Origin | **0** [9104] |
| Total number of accounts contributing to total gross margin deficiencies - Secured Funds Origin | **0** [9105] |
| Total number of accounts contributing to the total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin | **0** [9106] |
| Total number of accounts contributing to the total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin | **0** [9107] |
| Upload a copy of the firm's daily margin report the FCM uses to issue margin calls which corresponds with the reporting date. | Customer margin 11 05 2026.xlsx |

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## Daily Segregation - Swaps Statement

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

| | | |
|---|---|---|
| | Cleared Swaps Customer Requirements | |
| 1. | Net ledger balance | |
| | A. Cash | **7,981,163** [8500] |
| | B. Securities (at market) | **0** [8510] |
| 2. | Net unrealized profit (loss) in open cleared swaps | **0** [8520] |
| 3. | Cleared swaps options | |
| | A. Market value of open cleared swaps option contracts purchased | **8,417,670** [8530] |
| | B. Market value of open cleared swaps option contracts granted (sold) | **0** [8540] |
| 4. | Net Equity (deficit) (add lines 1, 2, and 3) | **16,398,833** [8550] |
| 5. | Accounts liquidating to a deficit and accounts with | |
| | debit balances - gross amount | **3,039,803** [8560] |
| | Less: amount offset by customer owned securities | **0** [8570] **3,039,803** [8580] |
| 6. | Amount required to be segregated for cleared swaps customers (add lines 4 and 5) | **19,438,636** [8590] |
| | Funds in Cleared Swaps Customer Segregated Accounts | |
| 7. | Deposited in cleared swaps customer segregated accounts at banks | |
| | A. Cash | **22,362,300** [8600] |
| | B. Securities representing investments of cleared swaps customers' funds (at market) | **0** [8610] |
| | C. Securities held for particular cleared swaps customers in lieu of cash (at market) | **0** [8620] |
| 8. | Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts | |
| | A. Cash | **0** [8630] |
| | B. Securities representing investments of cleared swaps customers' funds (at market) | **0** [8640] |
| | C. Securities held for particular cleared swaps customers in lieu of cash (at market) | **0** [8650] |
| 9. | Net settlement from (to) derivatives clearing organizations | **0** [8660] |
| 10. | Cleared swaps options | |
| | A. Value of open cleared swaps long option contracts | **0** [8670] |
| | B. Value of open cleared swaps short option contracts | **0** [8680] |
| 11. | Net equities with other FCMs | |
| | A. Net liquidating equity | **73,648,688** [8690] |
| | B. Securities representing investments of cleared swaps customers' funds (at market) | **0** [8700] |
| | C. Securities held for particular cleared swaps customers in lieu of cash (at market) | **0** [8710] |
| 12. | Cleared swaps customer funds on hand | |
| | A. Cash | **0** |
| | B. Securities representing investments of cleared swaps customers' funds (at market) | **0** |
| | C. Securities held for particular cleared swaps customers in lieu of cash (at market) | **0** **0** [8715] |
| 13. | Total amount in cleared swaps customer segregation (add lines 7 through 12) | **96,010,988** [8720] |
| 14. | Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) | **76,572,352** [8730] |
| 15. | Management Target Amount for Excess funds in cleared swaps segregated accounts | **250,000** [8760] |
| 16. | Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess | **76,322,352** [8770] |